### **DECLARATION**

As a below named inventor, I hereby declare that:

My residence, post office and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or the below tl

named inventors believe they are the subject matter which is claim AND METHODS FOR MITRA	the original, first an	d joint inventors (if plural patent is sought on the in	vention entitled DEVICES
<ul> <li>is attached herewith.</li> <li>is attached herewith.</li></ul>			
I hereby state that I has specification, including the claims			s of the above-identified bove.
I acknowledge the duty t me to be material to patentability defined in Title 37, Code of Feder	ty of the subject m	atter claimed in this appl	e all information known to lication, as "materiality" is
I hereby claim foreign prapplication(s) for patent or inventor application for patent or inventor priority is claimed:	itor's certificate liste	ed below and have also id	
	PRIOR FOREIGN	APPLICATION(S)	
			Priority Claimed
PCT/US2003/031992	PCT	October 8, 2003	Yes
(Number)	(Country)	(Date Filed)	
N/A			Yes/No
(Number)	(Country)	(Date Filed)	
I hereby claim the bene application(s) listed below and, ir disclosed in the prior United Stat United States Code, § 112, I ackr to the patentability of the subject Code of Federal Regulations, § application and the national or PC	asofar as the subject tes application in the cowledge the duty to matter claimed in the 1.56, which become	matter of each of the claim e manner provided by the o disclose all information lands application, as "material ome available between the	first paragraph of Title 35, mown to me to be material ality" is defined in Title 37,
N/A			Pending

N/A_		Pending	
(Application Serial No.)	(Filing Date)	(Status)	
N/A		Pending	
(Application Serial No.)	(Filing Date)	(Status)	

I hereby claim the benefit under title 35, United States code §119(e) of any United States provisional application(s) listed below:

. •	October 8, 2002	60/416,482	
-	(Filing Date)	(Application Serial No.)	
~	October 28, 2002	60/421,712	
_	(Filing Date)	(Application Serial No.)	

Please address all correspondence in connection with this application to:

Customer Number 35690
Eric B. Meyertons
MEYERTONS, HOOD, KIVLIN, KOWERT & GOETZEL, P.C.
P.O. Box 398
Austin, Texas 78767-0398
Ph. (512) 853-8800

I hereby declare that all statements made of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

1-00	Inventor's Full Name:	Lorenzo Menicanti
	Inventor's Signature:	Joseph Verice Date: 1/31/06
	Country of Residence:	Italy Citizenship: Italian
	Residence Address:	San Felice Torre 7, 1-20090 Sergrate Milan, Italy ZTX  (Include number, street name, city, state/country and zip/city code)
		(metade number, sueet name, city, state/country and zip/city code)
2-00	Inventor's Full Name:	Mitta Suresh
	Inventor's Signature:	Date: 1/31/06
	Country of Residence:	United States • Citizenship: Indian
	Residence Address:	3201 Tam O Shanter Lane, Richardson, Texas 75080 TX  (Include number, street name, city, state/country and zip/city code)

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3-00	Inventor's Full Name:	Jill Giannoble
	Inventor's Signature:	Quel W = CO Date: 0/31/04
	Country of Residence:	United States Citizenship: United States
	Residence Address:	3340 Chantilly Drive, Plano, Texas 75025
		(Include number, street name, city, state/country and zip/city code)
4-00	Inventor's Full Name:	Alan Bachman_
,	inventor 51 un realité.	Alan Bacillian
	Inventor's Signature:	Plu Backer Date: 2/1/06
	Country of Residence:	United States Citizenship: United States
	Residence Address:	111 Nicole Drive, Milford, Connecticut 06460 CT
		(Include number, street name, city, state/country and zip/city code)
5-00	Inventor's Full Name:	Emie Corrao
	Inventor's Signature:	Emil hamy Date: FEB 2, 7006
	Country of Residence:	United States Citizenship: United States
	Residence Address:	6 Canaan Drive, Bethel, Connecticut 06801
		(Include number, street name, city, state/country and zin/city code)

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

§

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Application No.:

10/530,938

• Confirmation No.:

9177

Filed:

April 8, 2005

Inventor(s):

Menicanti et al.

Title:

**DEVICES AND** 

METHODS FOR MITRAL

VALVE ANNULUS REFORMATION

Examiner: Art Unit:

Unknown

Atty. Dkt. No:

Unknown 5838-06602

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

DATE OF DEPOSIT:

February 13, 2006

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail on the date indicated above and is addressed to:

Commissioner for Patents

Xacle

## ELECTION UNDER 37 C.F.R. §§ 3.71 AND 3.73 AND POWER OF ATTORNEY

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The undersigned, being Assignee of record of the entire interest in the above-identified application by virtue of an assignment recorded in the United States Patent and Trademark Office as set forth below, hereby elects, under 37 C.F.R. § 3.71, to prosecute the application to the exclusion of the inventors.

The Assignee hereby revokes any previous Powers of Attorney and appoints: (1) the practitioners at Customer Number 65690 and (2)

Mark K. Brightwell	Reg. No. 47,446
Kay A. Colapret	Reg. No. 52,759
Steve J. Curran	Reg. No. 50,664
Mark R. DeLuca	Reg. No. 44,649
Russell Henrichs	Reg. No. 50,354
Erik A. Heter	Reg. No. 50,652
Jeffrey C. Hood	Reg. No. 35,198
Rajiv Jauhari	Reg. No. 55,850
B. Noël Kivlin	Reg. No. 33,929
Robert C. Kowert	Reg. No. 39,255
Mario J. Lewin	Reg. No. 54,268
Lawrence J. Merkel	Reg. No. 41,191
Eric B. Meyertons	Reg. No. 34,876
Neal E. Persky	Reg. No. 53,452
Liza Philip	Reg. No. 51,352
David W. Quimby	Reg. No. 39,338
Rory D. Rankin	Reg. No. 47,884

Gareth Sampson Reg. No. 52,191 Chris Thompson Reg. No. 43,188 Mark S. Williams Reg. No. 50,658

each an attorney or agent of the firm of MEYERTONS, HOOD, KIVLIN, KOWERT & GOETZEL, P.C., as its attorney or agent for so long as they remain with such firm, with full power of substitution and revocation, to prosecute the application, to make alterations and amendments therein, to transact all business in the Patent and Trademark Office in connection therewith, and to receive any Letters Patent, and for one year after issuance of such Letters Patent to file any request for a certificate of correction that may be deemed appropriate.

Pursuant to 37 C.F.R. § 3.73, the undersigned has reviewed the evidentiary documents, specifically the Assignment to Chase Medical, L.P., referenced below, and certify that to the best of my knowledge and belief, title remains in the name of the Assignee.

Please direct all communications as follows:

Customer Number 35690
Eric B. Meyertons, Esq.
MEYERTONS, HOOD, KIVLIN, KOWERT & GOETZEL, P.C.
P.O. BOX 398
AUSTIN, TEXAS 78767-0398
(512) 853-8800 (voice)
(512) 853-8801 (facsimile)

ASSIGNIER.

CHASE MEDICAL, L.P.

By: SURESH REDDY

Title: VICE-PRESIDENT OF REN

Date: 2 4 2006

ASSIGNMENT: <u>x</u>

Enclosed for recording

# 10/530 938 Rec'd PCT/PTO 08 APR 2006

**PATENT** 

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

99999999999999

Application No.:

10/530,938

Confirmation No.:

9177

Filed:

April 8, 2005

Inventor(s):

Menicanti et al.

Title:

**DEVICES AND** 

METHODS FOR MITRAL

**VALVE ANNULUS** REFORMATION

Examiner:

Unknown

Art Unit:

Unknown

Atty. Dkt. No:

5838-06602

CERTIFICATE OF MAILING

DATE OF DEPOSIT:

February 13, 2006

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail on the date indicated above and is addressed to:

UNDER 37 C.F.R. §1.8

Commissioner for Patents

# **VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS** SMALL BUSINESS CONCERN

I hereby declare that I am

	the owner o	of the sm	all huginess	concern	identified	helow.
1 1	the owner t	)1 HIC SHI	an musiness	CONCERN	пасшинса	DCIOW.

an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN:

Chase Medical, L.P.

ADDRESS OF CONCERN: 1876 Firman Drive

Richardson, Texas 75081

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18 for purposes of paying reduced fees under Section 41(h) of Title 35 United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

Thereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention entitled "DEVICES AND METHODS FOR MITRAL VALVE ANNULUS REFORMATION" by inventor(s) described in
<ul> <li>☐ the specification filed herewith.</li> <li>☑ application serial no.: 10/530,938, filed April 8, 2005.</li> <li>☐ patent no.:, issued</li> </ul>
If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.27(a)(2) or by any concern which would not qualify as a small business concern under 37 CFR 1.27(a)(2) or a nonprofit organization under 37 CFR 1.27(a)(3).
*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities.
FULL NAME: ADDRESS:  Individual Small Business Concern Nonprofit Organization
I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate.
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.
NAME OF PERSON SIGNING: SURESH KEDDY
TITLE OF PERSON OTHER THAN OWNER: VICE - PREDIMENT OF RAD
SIGNATURE: DATE: 2406

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